PATENT APPLICATION

E UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78196

Hideki MORIKAKU, et al.

Appln. No.: 10/694,894

Group Art Unit: 2833

Confirmation No.: 4515

Examiner: Ross N. GUSHI

Filed: October 29, 2003

For:

ELECTRIC ROTATING MACHINE

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following:

U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications

filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing

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concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith an English language abstract for JP 2000-83358 and corresponding U.S. Pat. No. 6,081,054. A copy of a Communication from a foreign patent office in a counterpart application citing JP 2000-83358 is also enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Daniel V. Williams

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 24, 2005

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Date: October 24, 2005

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MODIFIED PTO/SB/08 A & B (06-03) OCT 2 4 2005 Complete if Known Substitute for Form 1449 A & B/PTO 10/694,894 Application Number INFORMATION DISCLOSURE TRACE 4515 Confirmation Number October 29, 2003 Filing Date Hideki MORIKAKU First Named Inventor 2833 Art Unit (use as many sheets as necessary) **Examiner Name** Ross N. GUSHI O78196 Attorney Docket Number Sheet

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Publication Date		
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 6,081,054	Al	06-27-2000	Kashihara et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	
		JР	2000-83358		03-21-2000		Abstract
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, maginum, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where publishers are city and c		Translation ⁶	
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Examiner Signature	 Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.